

# Positivity, Convexity and Computation in Rigidity Theory

## 1. Geometric Constraint Systems

**Definition:** A  $d$ -dimensional bar-joint framework  $\mathcal{F} = (G, p)$  is given by a finite simple graph  $G = (V, E)$  and a realization  $p : V \rightarrow \mathbb{R}^d$  so that  $|V| = n$ . We consider the polynomial system  $g = (g_{ij})_{ij \in E} : \mathbb{R}^{dn} \rightarrow \mathbb{R}^{|E|}$  defined by

$$g_{ij}(p) = \sum_{k=1}^d (p_{ik} - p_{jk})^2 - \ell_{ij}^2 \quad \text{for } ij \in E,$$

edge lengths  $\ell_{ij} = \|p(i) - p(j)\|$  and variables  $p = (p_{jk} : j \in [n], k \in [d])$  representing the realization  $p$ . We denote the realization space of  $\mathcal{F}$  by  $g^{-1}(0)$ .

**Definition:** A  $d$ -dimensional polytope  $\mathcal{P}$  is given by a vertex set  $V$ , a facets set  $F$ , a vertex-facet incidence relation  $\sim$  and a realization  $(p, a)$ , where  $p : V \rightarrow \mathbb{R}^d$  and  $a : F \rightarrow \mathbb{R}^d \setminus \{0\}$  ( $a$  describes the outer facet normals), ensuring that  $\langle p_i - p_j, a_k \rangle = 0$  whenever  $i, j \sim k$ . An edge set  $E$  can be derived from the structure. The constraints  $g$  of  $\mathcal{P}$  are chosen so that all edges are of a prescribed length and all facets  $F$  are flat.

Since many methods apply to both, polytopes and bar-joint frameworks, we refer to them as  $d$ -dimensional geometric constraint systems (GCSs).

## 2. Rigidity and Flexibility

**Definition:** Let  $\mathcal{F}$  be a  $d$ -dimensional GCS with realization  $p$ , vertices  $V$  and constraints  $g$ . A continuous motion is a continuous  $\alpha : [0, 1] \rightarrow \mathbb{R}^n$  such that

- ▶  $\alpha(0) = p$ ,  $\alpha(t)$  is a realization of  $\mathcal{F}$  and
- ▶  $\alpha(t)$  satisfies the polynomial constraints  $g$  for all  $t \in [0, 1]$ .

$\alpha$  is called *trivial* if  $p$  and  $\alpha(t)$  have the same pairwise Euclidean distances between vertices for every  $t \in [0, 1]$ . It is called *nontrivial* otherwise. If a nontrivial continuous motion exists,  $\mathcal{F}$  is called *flexible*; otherwise, it is called *rigid*.



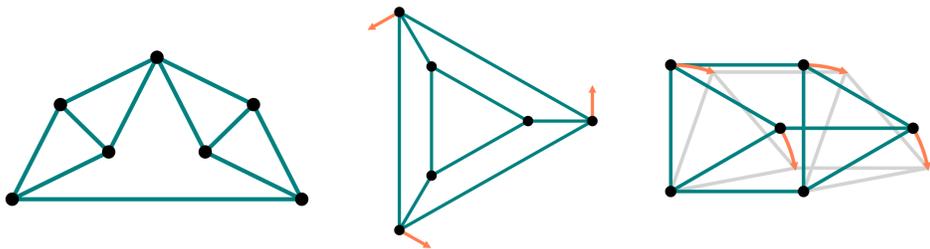
## 3. Infinitesimal Rigidity

**Definition:** Consider a GCS  $\mathcal{F}$  in  $\mathbb{R}^d$  with a polynomial constraint system  $g = 0$  containing  $m$  equations and realization  $p$  mapping into  $\mathbb{R}^{dn}$ . The corresponding rigidity matrix is the Jacobian

$$R_{\mathcal{F}}(p) = \left( \frac{\partial g_i}{\partial p_{jk}}(p) \right)_{i \in [m], (j,k) \in [n] \times [d]} \in \mathbb{R}^{m \times dn}.$$

An infinitesimal flex  $\dot{p}$  is an element of  $\ker R_{\mathcal{F}}(p)$  and an equilibrium stress  $\omega$  is an element of  $\text{coker } R_{\mathcal{F}}(p)$ . We call an infinitesimal flex *trivial* if it extends to an ambient isometry, and *nontrivial* otherwise. If each infinitesimal flex is trivial, we call the framework *infinitesimally rigid*; otherwise, it is *infinitesimally flexible*.

**Theorem<sup>1,2</sup>:** For a GCS  $\mathcal{F}$ , infinitesimal rigidity implies rigidity. This implication is generally not reversible.



**Theorem:** Every generic, convex realization of a 3-dimensional polytope is infinitesimally rigid<sup>3</sup>. Yet, flexible polytopes (both simplicial and convex) exist<sup>3,4</sup>.

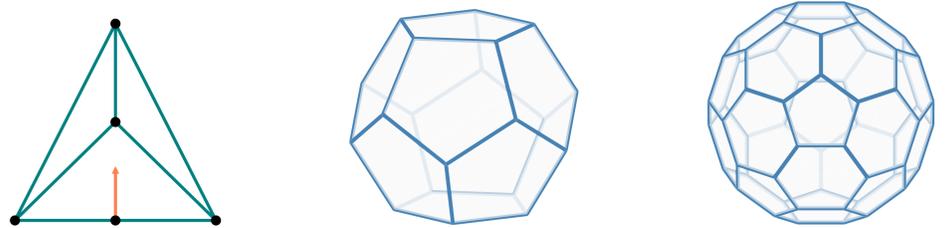
## 4. Second-Order Rigidity

**Definition** Consider a GCS  $\mathcal{F} = (V, g, p)$ . We say that an infinitesimal flex  $\dot{p}$  is *blocked* by an equilibrium stress  $\omega$  if

$$\omega^\top \cdot R_{\mathcal{F}}(\dot{p}) \cdot \dot{p} \neq 0.$$

$\mathcal{F}$  is called *second-order rigid* if every nontrivial infinitesimal flex is blocked by an equilibrium stress; it is called *prestressed stable* if there is a single equilibrium stress that blocks all nontrivial infinitesimal flexes.

**Theorem<sup>5,6</sup>:** For GCS, second-order rigidity implies rigidity.

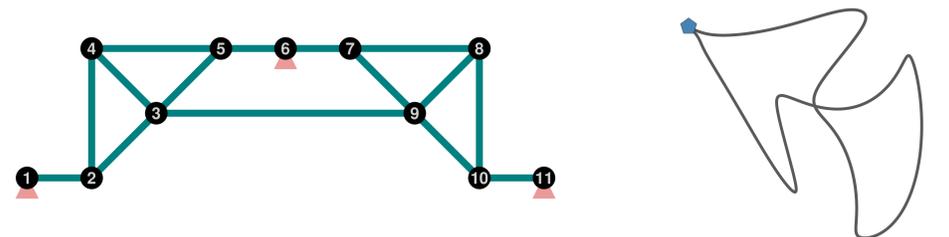


## 5. Higher-Order Rigidity

**Definition:** Given a GCS  $\mathcal{F}$  with polynomial constraints  $g$  and realization  $p$ , we say that  $(p^{(1)}, \dots, p^{(N)}) \in \prod_{i=1}^N \mathbb{R}^{nd}$  is an  $N$ -th order flex if it satisfies

$$\sum_{k=0}^n \binom{n}{k} \cdot R_{\mathcal{F}}(p^{(k)}) \cdot p^{(n-k)} = 0$$

for  $n = 1, \dots, N$ .  $\mathcal{F}$  is called  $N$ -order rigid<sup>7</sup> if every  $N$ -th order flex  $p^{(N)}$  comes with a trivial infinitesimal flex  $p^{(1)}$ .

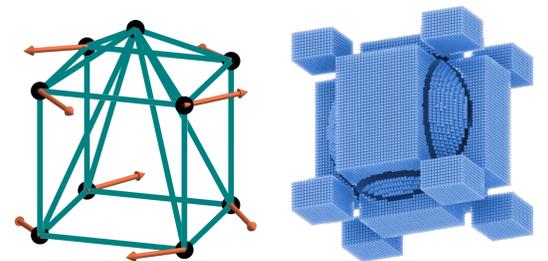


## 6. Coned Polytopes

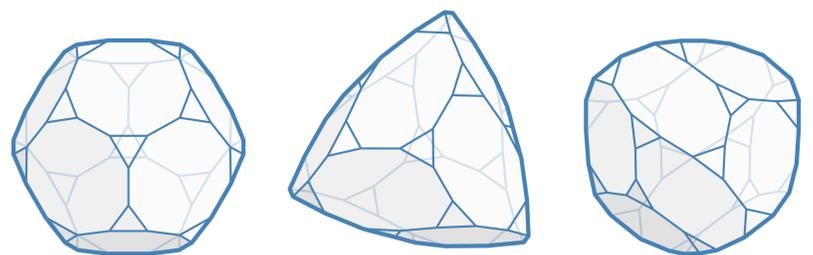
**Definition:** For a graph  $G = (V, E)$  and  $v \notin V$ , the *cone graph*  $G * \{v\}$  has the vertices  $V \cup \{v\}$  and edges  $E \cup \{iv : i \in V\}$ . Given a bar-joint framework  $\mathcal{F} = (G, p)$ , the *cone framework*  $\mathcal{F}^* = (G * \{v\}, p^*)$  is given by the realization  $p^*$  so that  $p^*(u) = p(u)$  for  $u \in V$  and  $p^*(v)$  is the position of the *cone point*.

**Theorem<sup>8,9</sup>:** Coning the 1-skeleton of an affinely-spanning convex polytope from the inside produces a cone framework that is prestressed stable.

**Question:** Take the 1-skeleton of a convex polytope. Where does placing the cone point result in a rigid framework? We call the surface of phase transitions between rigid and flexible realizations the *PSS Surface*.



## 7. The Curious Case of the Truncated Dodecahedron



<sup>1</sup> L. Asimov and B. Roth: "The Rigidity of Graphs". Transactions of the American Mathematical Society **245** (1978).  
<sup>2</sup> Y. Eftekhari et al.: "Point-Hyperplane Frameworks, Slider joints, and Rigidity [...]" J. Comb. Th. **B135** (2019).  
<sup>3</sup> M. Himmelmann, B. Schulze and M. Winter: "Rigidity of Polytopes with Edge Length [...]" (2026+).  
<sup>4</sup> R. Bricard: "Mémoire sur la Théorie de l'Octaèdre Articulé". J. Math. Pures et Appliquées **5.3** (1897).  
<sup>5</sup> R. Connelly: "The rigidity of certain cabled frameworks and the second-order rigidity [...]" Adv. Math. **37.3** (1980).  
<sup>6</sup> M. Himmelmann, M. Winter and Z. Zhang: "Second-order and Global Rigidity of Polytopes [...]" (2026+).  
<sup>7</sup> R. Connelly and H. Servatius: "Higher-order rigidity – What is the proper definition?" Disc. Comput. Geom. **11** (1994).  
<sup>8</sup> M. Winter: "Rigidity, Tensegrity and Reconstruction of Polytopes under Metric Constraints [...]" IMRN (2023).  
<sup>9</sup> E. Pachylis, R. Prosanov and M. Winter: "Second-order rigidity of coned polytope frameworks and the [...]" (2026+).